

114

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Application Serial No. .... 10/014,310  
Filing Date ..... December 11, 2001  
Inventor ..... Ritesh Shah et al  
Assignee ..... Honeywell International Inc.  
Group Art Unit ..... 1753  
Examiner ..... H. Wilkins  
Attorney's Docket No. .... 32120-CON1  
Title: Methods of Forming Metal Articles

Assistant Commissioner for Patents  
Art Unit 1753  
Washington, D.C. 20231

**SUBMISSION OF ADDITIONAL CLAIM FEES**  
(37 C.F.R. Section 1.16(b), (c), and (d) (presentation of extra claims))

**1. Additional Fees**

Applicants submit herewith a check in the amount of \$396.00 that represent additional fees for claims filed in a Third Supplemental Amendment dated 02/06/2003. A fee transmittal form PTO/SB/17 is included with total claims and claims previously paid.

The Commissioner is hereby authorized to charge any additional fees required under 37 C.F.R. Section 1.16 and Section 1.17 and credit any overpayments to account no. 23-0925.

Date: 06 Mar 2003

  
Signature of Practitioner

James E. Lake  
Reg. No.: 44,854  
Customer No.: 021567

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**CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. section 1.8(a))**

03/21/2003 RGHOLSON 00000006 10014310

I hereby certify that this correspondence is, on the date shown below, being:

01 FC:1201  
02 FE:1202

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transmitted by facsimile to the Patent and  
Trademark Office.

  
Signature

Gail Wright  
(type or print name of person certifying)

Please type a plus sign (+) inside this box → 

Approved for use through 09/30/2000 OMB 0651-0031

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	10/014,310
Filing Date	December 11, 2001
First Named Inventor	Ritesh P. Shah
Group Art Unit	1753
Examiner Name	H. Wilkins
Total Number of Pages in This Submission	Attorney Docket Number 32120-CON1

**ENCLOSURES (check all that apply)**

<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input checked="" type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Response	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	<input type="checkbox"/> PTO return postcard (2)
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Small Entity Statement	<input type="checkbox"/> A check for \$396.00
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> Request for Refund	<input type="checkbox"/> Submission of Additional Claim Fees.
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application		
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		
Remarks		Customer No. 021567
The Commissioner is hereby authorized to charge any additional fees required under 37 CFR Sections 1.16 and 1.17 and credit any overpayments to: 23-0925.		

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm or Individual name	James E. Lake Reg. No. 44,854; Wells St. John P.S.
Signature	
Date	05 May 2003

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: **3/6/2003**

Typed or printed name	GAIL M. RIGG	Date
Signature		March 6, 2003

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Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# FEE TRANSMITTAL

## for FY 2000

Patent fees are subject to annual revision.

Small Entity payments must be supported by a small entity statement; otherwise large entity fees must be paid. See Forms PTO/SB/09-12. See 37 C.F.R. §§ 1.27 and 1.28.TOTAL AMOUNT OF PAYMENT (\$)396.00**Complete if Known**

Application Number	10/014,310
Filing Date	December 11, 2001
First Named Inventor	Ritesh Shah
Examiner Name	H. Wilkins
Group / Art Unit	1753
Attorney Docket No.	32120-CON1

**METHOD OF PAYMENT** (check one)

1.  The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number

23-0925

Deposit Account Name

Wells St. John P.S. Charge Any Additional Fee Required  
Under 37 CFR §§ 1.16 and 1.17

2.  Payment Enclosed:

 Check     Money Order     Other**FEE CALCULATION** (continued)**3. ADDITIONAL FEES**

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee	Description	Fee Paid
105	130	205	65 Surcharge - late filing fee or oath	0.00
127	50	227	25 Surcharge - late provisional filing fee or cover sheet	0.00
139	130	139	130 Non-English specification	0.00
147	2,520	147	2,520 For filing a request for reexamination	0.00
112	920*	112	920* Requesting publication of SIR prior to Examiner action	0.00
113	1,840*	113	1,840* Requesting publication of SIR after Examiner action	0.00
115	110	215	55 Extension for reply within first month	0.00
116	380	216	190 Extension for reply within second month	0.00
117	870	217	435 Extension for reply within third month	0.00
118	1,360	218	680 Extension for reply within fourth month	0.00
128	1,850	228	925 Extension for reply within fifth month	0.00
119	300	219	150 Notice of Appeal	0.00
120	300	220	150 Filing a brief in support of an appeal	0.00
121	260	221	130 Request for oral hearing	0.00
138	1,510	138	1,510 Petition to institute a public use proceeding	0.00
140	110	240	55 Petition to revive - unavoidable	0.00
141	1,210	241	605 Petition to revive - unintentional	0.00
142	1,210	242	605 Utility issue fee (or reissue)	0.00
143	430	243	215 Design issue fee	0.00
144	580	244	290 Plant issue fee	0.00
122	130	122	130 Petitions to the Commissioner	0.00
123	50	123	50 Petitions related to provisional applications	0.00
126	240	126	240 Submission of Information Disclosure Stmt	0.00
581	40	581	40 Recording each patent assignment per property (times number of properties)	0.00
146	690	246	345 Filing a submission after final rejection (37 CFR § 1.129(a))	0.00
149	690	249	345 For each additional invention to be examined (37 CFR § 1.129(b))	0.00
Other fee (specify)				0.00
Other fee (specify)				0.00
Reduced by Basic Filing Fee Paid				SUBTOTAL (3) (\$) <u>0.00</u>

**SUBMITTED BY**

Name (Print/Type)	James E. Lake	Registration No. (Attorney/Agent)	44,854	Telephone	US-509-624-4276
Signature		Date	06 Mar 2003		

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Assistant Commissioner for Patents  
Art Unit 1753  
Washington, D.C. 20231

SUBMISSION OF ADDITIONAL CLAIM FEES  
(37 C.F.R. section 1.16(b), (c), and (d) (presentation of extra claims))

1. Additional Fees

Applicants submit herewith a check in the amount of \$918.00 that represent additional fees for claims filed in a Second Supplemental Amendment dated 6/24/2002. A fee transmittal form PTO/SB/17 is included with total claims and claims previously paid.

The Commissioner is hereby authorized to charge any additional fees required under 37 C.F.R. Section 1.16 and Section 1.17 and credit any overpayments to account no. 23-0925.

Date: 06 Mar 2003

Signature of Practitioner

James E. Lake  
Reg. No.: 44,854  
Customer No.: 021567

CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. section 1.8(a))

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18/21/2003  
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Commissioner for Patents, Washington, D.C.  
20231.

Date: March 6, 2003

FACSIMILE

transmitted by facsimile to the Patent and  
Trademark Office.

  
Signature  
**Gail Wright**  
(type or print name of person certifying)

Please type a plus sign (+) inside this box →

PTO/SB/21 (6-98)  
Approved for use through 09/30/2000. OMB 0651-0031

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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## TRANSMITTAL FORM

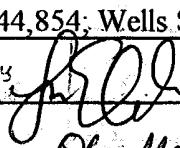
(to be used for all correspondence after initial filing)

Application Number	10/014,310
Filing Date	December 11, 2001
First Named Inventor	Ritesh P. Shah
Group Art Unit	1753
Examiner Name	H. Wilkins
Total Number of Pages in This Submission	Attorney Docket Number 32120-CON1

### ENCLOSURES (check all that apply)

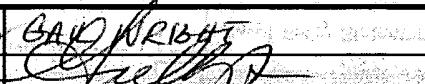
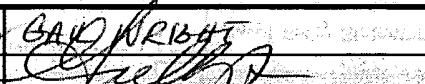
<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
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<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	<b>PTO return postcard (2)</b>
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Small Entity Statement	<b>A check for \$918.00</b>
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> Request for Refund	<b>Submission of Additional Claim Fees.</b>
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application	<b>Remarks</b>	<b>Customer No. 021567</b>
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	The Commissioner is hereby authorized to charge any additional fees required under 37 CFR Sections 1.16 and 1.17 and credit any overpayments to: 23-0925.	

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	James E. Lake Reg. No. 44,854; Wells St., John P.S.
Signature	
Date	06 Mar 2003

### CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: **3/6/2003**

Typed or printed name	
Signature	
Date	March 6, 2003

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TOTAL AMOUNT OF PAYMENT (\$918.00)

## Complete if Known

Application Number	10/014,310
Filing Date	December 11, 2001
First Named Inventor	Ritesh Shah
Examiner Name	H. Wilkins
Group / Art Unit	1753
Attorney Docket No.	32120-CON1

## METHOD OF PAYMENT (check one)

1.  The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number

23-0925

Deposit Account Name

Wells St. John P.S.

 Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17

2.  Payment Enclosed:

 Check     Money     Order     Other

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 690	201 345	Utility filing fee	0.00
106 310	206 155	Design filing fee	
107 480	207 240	Plant filing fee	
108 690	208 345	Reissue filing fee	
114 150	214 75	Provisional filing fee	

SUBTOTAL (1) (\$0.00)

## 2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
36	-20** = 9	x 18	162
Independent Claims	15 - 3** = 9	x 84	756
Multiple Dependent			0

\*\* or number previously paid, if greater. For Reissues, see below

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103 18	203 9	Claims in excess of 20
102 78	202 39	Independent claims in excess of 3
104 260	204 130	Multiple dependent claim, if not paid
109 78	209 39	** Reissue independent claims over original patent
110 18	210 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$918.00)

## 3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	0.00
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	0.00
139 130	139 130	Non-English specification	0.00
147 2,520	147 2,520	For filing a request for reexamination	0.00
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	0.00
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	0.00
115 110	215 55	Extension for reply within first month	0.00
116 380	216 190	Extension for reply within second month	0.00
117 870	217 435	Extension for reply within third month	0.00
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128 1,850	228 925	Extension for reply within fifth month	0.00
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120 300	220 150	Filing a brief in support of an appeal	0.00
121 260	221 130	Request for oral hearing	0.00
138 1,510	138 1,510	Petition to institute a public use proceeding	0.00
140 110	240 55	Petition to revive - unavoidable	0.00
141 1,210	241 605	Petition to revive - unintentional	0.00
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123 50	123 50	Petitions related to provisional applications	0.00
126 240	126 240	Submission of Information Disclosure Stmt	0.00
581 40	581 40	Recording each patent assignment per property (times number of properties)	0.00
146 690	246 345	Filing a submission after final rejection (37 CFR § 1.129(a))	0.00
149 690	249 345	For each additional invention to be examined (37 CFR § 1.129(b))	0.00
Other fee (specify)			0.00
Other fee (specify)			0.00

Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$0.00)

## SUBMITTED BY

Name (Print/Type)	James E. Lake	Registration No (Attorney/Agent)	44,854	Telephone	US-509-624-4276
Signature		Date	06 Mar 2003		

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